

Applicant/Patentee: Jose Ramon BOTELLA MESA et al.

Docket No.: 229752001300

Serial N /Patent No.: 09/763,957

Filed on/Issued: February 28, 2001

For: A NOVEL PLANT PROMOTER AND USES THEREFOR

**VERIFIED STATEMENT CLAIMING SMALL ENTITY  
STATUS 37 C.F.R. § 1.9(f) AND § 1.27(d) - NONPROFIT ORGANIZATION**

I hereby declare that I am an official empowered to act on behalf of the non-profit organization identified below:

Name of nonprofit organization: The University of Queensland of St. Lucia

Address of nonprofit organization: Queensland 4067, Australia

Type of nonprofit organization:

- ☒ University or other institution of higher education  
☐ Tax exempt under Internal Revenue Service Code (26 U.S.C. §§ 501(a) and 501(c)(3))  
☐ Nonprofit scientific or educational under a statute of a state of the United States of America  
     Name of state: \*  
     Citation of statute: \*  
☐ Would qualify as tax exempt under Internal Revenue Service Code (26 U.S.C. §§ 501(a) and 501(c)(3)) if located in the United States of America  
☐ Would qualify as nonprofit scientific or educational organization under a statute of a state of the United States of America if located in the United States of America  
     Name of state: \*  
     Citation of statute: \*

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 C.F.R. § 1.9(e) for purposes of paying reduced fees to the United States Patent and Trademark Office regarding the invention entitled A NOVEL PLANT PROMOTER AND USES THEREFOR inventor(s) \* described in:

- ☐ the specification filed herewith.  
☒ application serial no. 09/763,957, filed February 28, 2001.  
☐ patent no. \*, issued \*.

I hereby declare that the rights under contract or law have been conveyed to and remain with the nonprofit organization regarding the above identified invention. If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights in the invention must file separate verified statements answering to their status as small entities and that no rights to the invention are held by any person other than the inventor, who would not qualify as an independent inventor under 37 C.F.R. § 1.9(e) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 C.F.R. § 1.9(d) or a non-profit organization under 37 C.F.R. § 1.9(e).

Each person, concern or organization having any rights in the invention is listed below:

- ☐ no such person, concern or organization exists.  
☒ each such person, concern or organization is listed below.

NAME	ADDRESS	STATUS
The University of Queensland of St. Lucia	Queensland 4067, Australia	<input type="checkbox"/> Individual <input type="checkbox"/> Small Business Concern <input checked="" type="checkbox"/> Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification or any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 C.F.R. § 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: \*

TITLE IN ORGANIZATION OF PERSON SIGNING: \*

ADDRESS OF PERSON SIGNING: \*

DOUGLAS PORTER

Secretary and Registrar

The University of Queensland

QUEENSLAND 4072

SIGNATURE: Douglas PorterDATE: 27/4/01

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